

Comparative Report **SEPs, IR, and Penalties**

<u>Supplemental Environmental Projects</u>									
	1992	1993	1994	1995	1996	1997	1998	1999	Cumulative 92-99
Total Number	32	52	36	63	31	59	49 (39 cases)	43 (37 cases)	365
Total Value (\$)	2,860,000	42,800,000	5,399,000	14,362,170	17,262,086	11,464,147	13,963,633	96,308,753	204,419,789
Average Value of SEP	89,375	823,076	149,972	227,970	556,841	194,308	284,972	2,239,738	560,004
No. with value \$\$100,000	8 (25%)	21 (40%)	13 (36%)	31 (49%)	12 (39%)	26 (44%)	29 (59%)	12 (28%)	152 (42%)
No. with value \$\$1,000,000	0 (0%)	5 (10%)	1 (3%)	3 (5%)	1 (3%)	3 (5%)	2 (4%)	3 (7%)	18 (5%)
No. of Pollution Prevention SEPs	1 (3%)	20 (38%)	6 (17%)	42 (67%)	11 (35%)	39 (66%)	28 (57%)	15 (35%)	162 (44%)
Value of penalties in SEP cases (\$)	222,000	6,842,000	1,548,000	7,601,349	1,295,758	3,661,751	7,166,432	9,028,604	37,368,894
No. Cases in any G.I.	not available	29 (56%)	23 (64%)	40 (63%)	16 (52%)	47 (80%)	34 (69%)	39 (91%)	(93-99) 228 (69%)
No. cases in GLB	17 (53%)	28 (54%)	21 (58%)	40 (63%)	13 (42%)	42 (71%)	28 (57%)	22 (51%)	211 (58%)
NWI	not available	not available	not available	6	1	4	2	2	(95-99) 15
GAT	1	not available	2	0	0	0	2	3	(94-99) 7
TRI	not available	1	0	0	1	0	0	0	(93-99) 2
SEMI	1	4	6	6	4	5	6	1	33
CLE	not available	not available	not available	3	0	2	2	4	(95-99) 11
SECH/GMC (GC)	not available	not available	not available	4	2	7	5	8	(95-99) 26
UM	not available	not available	not available	not available	not available	19	15	26	(97-99) 60

Injunctive Relief

	1992	1993	1994	1995	1996	1997	1998	1999	Cumulative 92-99
Total Number	41	89	63	80	127	162	208	298	1068
Total Value (\$)	286,000,000	756,000,000	141,073,000	340,285,000	191,426,000	118,673,000	273,272,227	230,364,449	2,337,093,676
Average Value of IR (in millions of \$)	6.98	8.49	2.23	4.25	1.50	0.73	1.31	0.77	2.19
No. cases in any G.I.	not available	48 (54%)	38 (60%)	40 (50%)	73 (57%)	111 (69%)	155 (75%)	221 (74%)	(93-99) 686
No. cases in GLB	3 (7%)	47 (53%)	34 (54%)	39 (49%)	66 (52%)	67 (41%)	122 (59%)	156 (52%)	534
NWI	not available	not available	not available	1	8	10	5	17	(95-99) 41
GAT	3	not available	not available	0	0	8	1	6	(96-99) 15
TRI	1	1	4	2	2	1	1	1	13
SEMI	2	7	4	5	5	8	17	17	65
CLE	not available	not available	not available	2	2	4	10	17	(95-99) 35
SECH/GMC (GC)	not available	not available	not available	2	14	14	17	36	(95-99) 83
UM	not available	not available	not available	not available	not available	63	66	138	(97-99) 197

Civil and Criminal Penalties

	1992	1993	1994	1995	1996	1997	1998	1999	Cumulative 92-99
Total Number of Cases	182	220	279	312	174	353	246	292	2058
Total Number of Judicial Cases	30	45	37	33	21	24	21	14	225
Total Number of Administrative Cases	147	173	236	278	148	308	214	260	1764
Total Value (\$)	14,378,026	31,530,415	44,819,851	25,208,197	8,804,994	18,777,653	18,700,494	17,922,741	180,558,741
Average Penalty	79,000	143,320	160,645	80,796	50,603	53,194	76,018	63,091 ???	87,735
No. cases in any G.I.	not available	not available	not available	not available	not available	not available	207 (84%)	260 (85%)	(98-99) 467
No. cases in GLB initiative	not available	not available	not available	not available	not available	not available	146 (59%)	170 (62%)	(98-99) 316
NWI	not available	not available	not available	not available	not available	not available	4	15	(98-99) 19
GAT	not available	not available	not available	not available	not available	not available	7	6	(98-99) 13
TRI	not available	not available	not available	not available	not available	not available	0	1	(98-99) 1
SEMI	not available	not available	not available	not available	not available	not available	20	16	(98-99) 36
CLE	not available	not available	not available	not available	not available	not available	12	18	(98-99) 30
SECH/GMC (GC)	not available	not available	not available	not available	not available	not available	27	76	(98-99) 103
UM	not available	not available	not available	not available	not available	not available	97	160	(98-99) 257

Cost Recovery								
	1992	1993	1994	1995	1996	1997	1998	1999
Total Number of Cases	No reports were done during these years							67
Total Value								\$60,192,211
Average Value								\$898,391

Notes:

1. For those cases which provided dollar ranges (for example, the cost of a SEP was \$1-3 million), the lower value was used.
2. "Pollution prevention projects" refers to those projects involving, but not necessarily limited to, pollution prevention.
3. Values of SEPs and IRs are approximate due to incomplete and/or unavailable information (these values reflect only those cases for which costs were determined).
4. The low total value of injunctive relief for FY96 is due in part to a high number of cases for which the cost of injunctive relief was unknown. Figures for FY97 and FY98 also suffer from this problem.
5. "Not available" refers to information which was not provided for these years.
6. Injunctive relief data for FY92 reflect only judicial cases, not administrative. This should be remembered when interpreting trends data.
7. Certain values for FY92, 93 and 94 differ from those found in previous reports; this is due to updates and more thorough reviews of source information.
8. GLB cases are not part of any official Region 5 geographic initiative. Rather, these cases are tracked in the same manner as official initiative cases because, similar to the geographic initiative cases, GLB cases represent Region 5's general efforts to secure environmental cleanup and protection within a specific geographic region.
9. The Upper Mississippi (UM) geographic initiative was added in FY97. As a result, data for previous years are unavailable.
10. The SECH/GMC geographic initiatives were combined in FY97 into one initiative, Greater Chicago (GC).
11. The large number of injunctive relief cases in FY97, FY98, and FY99 is attributable in part to an expanded definition of "injunctive relief." Permit compliance orders, site access orders, removal orders, wetlands restoration orders, and WWTP orders were included in FY97's report, but not in the reports of prior years.
12. For more detailed penalty information, consult the Comparative Report on Civil and Criminal Penalties, FY92-99.